

Revision: HCFA-PM-91-4
August 1991

(BPD)

OMB No. : 0938-

State/Territory: Kentucky

SECTION 3 - SERVICES: GENERAL PROVISIONS

Citation

3.1 Amount, Duration, and Scope of Services

42 CFR
Part 440,
Subpart B
1902(a), 1902(e),
1905(a), 1905(p),
1915, 1920, and
1925 of the Act

- (a) Medicaid is provided in accordance with the requirements of 42 CFR Part 440, Subpart B and sections 1902(a), 1902(e), 1905(a), 1905(P) 1915, 1920, and 1925 of the Act.

(1) Categorically needy .

Services for the categorically needy are described below and in ATTACHMENT 3.1-A. These services include:

1902(a) (10) (A) and
1905 (a) of the Act

- (i) Each item or service listed in section 1905 (a) (1) through (5) and (21) of the Act, is provided as defined in 42 CFR Part 440, Subpart A, or, for EPSDT services, section 1905(r) and 42 CFR Part 441, Subpart B.
- (ii) Nurse-midwife services listed in section 1905 (a) (17) of the Act, as defined in 42 CFR 440.165 are provided to the extent that nurse-midwives are authorized to practice under State law or regulation. Nurse-midwives are permitted to enter into independent provider agreements with the Medicaid agency without regard to whether the nurse-midwife is under the supervision of, or associated with, a physician or other health care provider.

☐

Not applicable. Nurse-midwives are not authorized to practice in this State.

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State/Territory: Kentucky

Citation 3.1(a) (1) Amount, Duration, and Scope of Services:
Categorically Needy (Continued)

1902 (e) (5) of
the Act

(iii) Pregnancy-related, including family planning services, and postpartum services for a 60-day period (beginning on the day pregnancy ends) and any remaining days in the month in which the 60th day falls are provided to women who, while pregnant, were eligible for, applied for, and received medical assistance on the day the pregnancy ends.



(iv) Services for medical conditions that may complicate the pregnancy (other than pregnancy-related or postpartum services) are provided to pregnant women.

1902 (a) (10),
clause (VII)
of the matter
following (F)
of the Act

(v) Services related to pregnancy (including prenatal, delivery, postpartum, and family planning services) and to other conditions that may complicate pregnancy are the same services provided to poverty level pregnant women eligible under the provision of sections 1902 (a) (10) (A) (i) (IV) and 1902 (a) (10) (A) (ii) (IX) of the Act.

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October 1992

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State/Territory: Kentucky

<u>Citation</u>	3.1 (a) (1)	<u>Amount, Duration, and Scope of Services: Categorically Needy</u> (Continued)
1902 (a) (10) (D)	(vi)	Home health services are providing to individuals entitled to nursing facility services as indicated in item 3.1(b) of this plan.
1902 (e) (7) of the Act	(vii)	Inpatient services that are being furnished to infants and children described in section 1902 (l)(1) (B) through (D), or section 1905 (n) (2) of the Act on the date the infant or child attains the maximum age for coverage under the approved State plan will continue until the end of the stay for which the inpatient services are furnished.
1902 (e) (9) of the Act	_____ (viii)	Respiratory care services are provided to ventilator dependent individuals as indicated in item 3.1(h) of this plan.
1902 (a) (52) and 1925 of the Act	(ix)	Services are provided to families eligible under section 1925 of the Act as indicated in item 3.5 of this plan.
1905 (a) (23) and 1929	_____ (x)	Home and Community Care for Functionally Disabled Elderly Individuals, as defined, described and limited in Supplement 2 to Attachment 3.1-A and Appendices A-G to Supplement 2 to Attachment 3.1-A.

ATTACHMENT 3.1-A identifies the medical and remedial services provided to the categorically needy, specifies all limitations on the amount, duration and scope of those services, and lists the additional coverage (that is in excess of established service limits) for pregnancy-related services for conditions that may complicate the pregnancy.

TN NO. 93-9

Supersedes Approval Date JUN 4 1993

Effective Date 4/1/93

TN NO. 92-1

State: Kentucky

Citation	3.1(a)(1)	Amount, Duration, and Scope of Services: Categorically Needy (Continued)
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1905(a)(26) and 1934	<u> X </u>	Program of All-Inclusive Care of the Elderly (PACE) services, as described and limited in Supplement 3 to Attachment 3.1-A.
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ATTACHMENT 3.1-A identifies services provided to each covered group of the categorically need; specifies all limitations on the amount, duration and scope of those services, and lists the additional coverage (that is in excess of established service limits) for pregnancy-related services for conditions that may complicate the pregnancy.

TN NO. 98-08

Supersedes

TN NO. NoneApproval Date 11/12/98Effective Date 1/1/99

Revision: HCFA-PM-91-4
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(BPD)

OMB No.: 0938-

State / Territory: Kentucky

Citation 3.1 Amount, Duration, and Scope of Services (continued)

42 CFR Part 440, (a) (2) Medically needy.
Subpart B



This State plan covers the medically needy. The services described below and in ATTACHMENT 3.1-B are provided.

Services for the medically needy include:

1902 (a) (10) (C) (iv)
of the Act
42 CFR 440.220

- (i) If services in an institution for mental diseases (42 CFR 440.140 and 40.160) or an intermediate care facility for the mentally retarded (or both) are provided to any medically needy group, then each medically needy group is provided either the services listed in section 1905 (a) (1) through (5) and (17) of the Act, or seven of the services listed in section 1905 (a) (1) through (20). The services are provided as defined in 42 CFR Part 440, Subpart A and in sections 1902, 1905, and 1915 of the Act.



Not applicable with respect to nurse-midwife services under section 1902 (a) (17). Nurse-midwives are not authorized to practice in this State.

1902 (e) (5) of
the Act

- (ii) Prenatal care and delivery services for pregnant women.

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AUGUST 1991

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OMB No.: 0938-

State / Territory: Kentucky

Citation 3.1 (a) (2) Amount, Duration, and Scope of Services : Medically
Needy (Continued)

(iii) Pregnancy-related, including family planning services, and postpartum services for a 60-day period (beginning on the day the pregnancy ends) and any remaining days in the month in which the 60th day falls are provided to women who, while pregnant, were eligible for, applied for, and received medical assistance on the day the pregnancy ends.

☒ (iv) Services for any other medical condition that may complicate the pregnancy (other than pregnancy-related and postpartum services) are provided to pregnant women.

(v) Ambulatory services, as defined in ATTACHMENT 3.1-B, for recipients under age 18 and recipients entitled to institutional services.

☐ Not applicable with respect to recipients entitled to institutional services; the plan does not cover those services for the for the medically needy.

(vi) Home health services to recipients entitled to nursing facility services as indicated in item 3.1 (b) of this plan.

42 CFR 440.140,
440.150, 440.160
Subpart B,
442.441,
Subpart C,
1902 (a) (20) (C)
and (21) of the Act
1902 (a)(10)(D)
P&I HCFA
11/14/94

☒ (vii) Services in an institution for mental diseases for individuals over age 65.

☒ (viii) Services in an intermediate care facility for the mentally retarded.

☒ (ix) Inpatient psychiatric services for individuals under the age 21.

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Supersedes

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TN No. 87-15

HCFA ID: 7982E

Revision: HCFA-PM-92-7
OCTOBER 1992

(MB)

State / Territory: Kentucky

Citation 3.1 (a) (2) 4 Amount, Duration, and Scope of Services:
Medically Needy (Continued)

1902 (e) (9) of the Act (x) Respiratory care services are provided to ventilator dependent individuals as indicated in item 3.1 (h) of this plan.

1905 (a) (23) and 1929 (xi) Home and Community Care for Functionally Disabled Elderly Individuals, as defined, described and limited in Supplement 2 to Attachment 3.1-A and Appendices A-G to Supplement 2 to Attachment 3.1-A.

ATTACHMENT 3.1-B identifies the services provided to each covered group of the medically needy; specifies all limitations on the amount, duration, and scope of those items; and specifies the ambulatory services provided under this plan and any limitations on them. It also lists the additional coverage (that is in excess of established services limits) for pregnancy-related services and services for conditions that may complicate the pregnancy.

TN No. 93-9
Supersedes
TN No. 92-1

Approval Date JUN 4 1993

Effective Date 4/1/93

State: Kentucky

Citation	3.1 (a)(2)	Amount, Duration, and Scope of Services: Medically Needy (Continued)
1905(a) (26) and 1934	<u> X </u>	Program of All-Inclusive Care of the Elderly (PACE) services, as described and limited in Supplement 3 to Attachment 3.1-A.

ATTACHMENT 3.1-B identifies services provided to each covered group of the medically needy; specifies all limitations on the amount, duration and scope of those services, and lists the additional coverage (that is in excess of established service limits) for pregnancy-related services for conditions that may complicate the pregnancy.

TN No. 98-08
Supersedes
TN No. None

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Effective Date 1/1/99

State: Kentucky

Citation

3.1 Amount, Duration, and Scope of Services (continued)

(a)(3) Other Required Special Groups: Qualified Medicare Beneficiaries

1902(a)(10)(E)(i) and clause (VIII) of the matter following (F), and 1905(p)(3) of the Act

Medicare cost sharing for qualified Medicare beneficiaries described in section 1905(p) of the Act is provided only as indicated in item 3.1 of this plan.

(a)(4)(i) Other Required Special Groups: Qualified Disabled and Working Individuals

1902(a)(10)(E)(ii) and 1905(s) of the Act

Medicare Part A premiums for qualified disabled and working individuals described in section 1902(a)(10)(E)(ii) of the Act are provided as indicated in item 3.1 of this plan.

(ii) Other Required Special Groups: Specified Low-Income Medicare Beneficiaries

1902(a)(10)(E)(iii) and 1905(p)(3)(A)(ii) of the Act

Medicare Part B premiums for specified low-income Medicare beneficiaries described in section 1902(a)(10)(E)(iii) of the Act are provided as indicated in item 3.2 of this plan.

(iii) Other Required Special Groups: Qualifying Individuals – 1

1902(a)(10)(E)(iv)(I) 1905(p)(3)(A)(ii) and 1933 of the Act

Medicare Part B premiums for qualifying individuals described in Section 1902(a)(10)(E)(iv)(I) and subject to section 1933 of the Act are provided as indicated in item 3.2 of this plan.

State: Kentucky

	(iv)	<u>Other Required Special Groups: Qualifying Individuals – 2</u>
1902(a)(10)(E)(iv)(II), 1905(p)(3)(A)(iv)(II), 1905(p)(3) of the Act		The portion of the amount of increase to the Medicare Part B premium attributable to the Home Health provisions for qualifying individuals describing in Section 1902(A)(10)(E)(iv)(II) and subject to Section 1933 of the Act are provided as indicated in item 3.2 of this plan.
1925 of the Act	(a)(5)	<u>Other Required Special Groups: Families Receiving Extended Medicaid Benefits</u> Extended Medicaid benefits for families in section 1925 of the Act are provided as indicated in item 3.5 of this plan.
1905(a)(9) of the Act	(a)(6)	<u>Homeless Individuals</u> Clinic services furnished to eligible individuals who do not reside in a permanent dwelling or do not have a fixed home or mailing address are provided without restrictions regarding the site at which the services are furnished.
1902(a)(47) and 1920 of the Act	(a)(7)	<u>Presumptively Eligible Pregnant Women</u> Ambulatory prenatal care for pregnant women is provided during a presumptive eligibility period if the care is furnished by a provider that is eligible for payment under the State plan.
42 CFR 441.55, 50 CFR 43654, 1902(a)(43) 1905(a)(4)(B) 1905(r) of the Act	(a)(8)	<u>EPSDT Services</u> The Medicaid agency meets the requirements of sections 1902(a)(43), 1905(a)(4)(B), and 1905(r) of the Act with respect to early and periodic screening, diagnostic, and treatment (EPSDT) services.

State: Kentucky

P.L. 102-585 Section 402	(a)(9) <u>Qualified Alien</u> Is residing in the United States and - - a. Is a citizen. b. Is a qualified alien, as identified in section 431 (b) of P.L. 104-193, whose coverage is mandatory under sections 402 and 403 of P.L. 104-193, including those who entered the U.S. prior to August 22, 1996, and those who entered on or after August 22, 1996. <u>X</u> Is a qualified alien, as defined in section 431(b) of P.L. 104-193, whose coverage is optional under section 402 and 403 of P.L. 104-193, including those who entered the U.S. Prior to August 22, 1996 and those who entered on or after August 22, 1996. c. Is an alien who is not a qualified alien as defined in Section 431(b) of P.L. 104-193, or who is a qualified alien but is not eligible under the provision of (b) above. (Coverage is restricted to certain emergency services).
1902(a) and 1903(v) of the Act and Section 401(b)(1)(A) of P.L. 104-193	(a)(10) <u>Limited Coverage for Certain Aliens</u> Is an alien who is not a qualified alien or who is a qualified alien, as defined in section 431 (b) of P.L. 104-193, but is not eligible for Medicaid based on alien status, and who would otherwise qualify for Medicaid if provided Medicaid only for the treatment of an emergency medical condition (including emergency labor and delivery) as defined in section 1903(v) (3) of the Act.

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OMB No.: 0938-1991

State: Kentucky

Citation	3.1(a)(9)	Amount, Duration, and Scope of Services: EPSDT Services (continued)
42 CFR 441.60	<input type="checkbox"/>	The Medicaid agency has in effect agreements with continuing care providers. Described below are the methods employed to assure the providers' compliance with their agreements.**
42 CFR 440.240 and 440.250	(a)(10)	Comparability of Services
1902(a) and 1902(a)(10), 1902(a)(52), 1903(v), 1915(g), 1925(b)(4), and 1932 of the Act		Except for those items or services for which sections 1902(a), 1902(a)(10), 1903(v), 1915, 1925, and 1932 of the Act, 42 CFR 440.250, and section 245A of the Immigration and Nationality Act, permit exceptions:
		(i) Services made available to the categorically needy are equal in amount, duration, and scope for each categorically needy person.
		(ii) The amount, duration, and scope of services made available to the categorically needy are equal to or greater than those made available to the medically needy.
		(iii) Services made available to the medically needy are equal in amount, duration, and scope for each person in a medically needy coverage group.
	<input checked="" type="checkbox"/>	(iv) Additional coverage for pregnancy-related services for conditions that may complicate the pregnancy are equal for categorically and medically needy.

** Describe here.

The continuing care provider submits monthly encounter data reflecting the number of examinations completed, the number of examinations where a referable condition was identified, and the number of follow-up treatment encounters. Medicaid staff makes periodic on-site reviews to monitor the providers' record of case management.

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Revision: HCFA – Region VI
November 1990

State: Kentucky

Citation 3.1(b)
42 CFR Part
440, Subpart B
42 CFR 441.15
AT-78-90
AT-80-34

Section 1905(a) (4) (A)
of Act (Sec. 4211(f)
of P.L. 100-203).

Home health services are provided in accordance with the requirements of 42 CFR 441.15.

- (1) Home health services are provided to all categorically needy individuals 21 years of age or over.
- (2) Home health services are provided to all categorically needy individuals under 21 years of age.

☒ Yes

☐ Not applicable. The State plan does not provide for nursing facility services for such individuals.

- (3) Home health services are provided to the medically needy.

☐ Yes; to all

☒ Yes; to individuals age 21 or over; nursing facility services are provided.

☒ Yes; to individuals under age 21; nursing facility services are provided.

☐ No; nursing facility services are not provided.

☐ Not applicable; the medically needy are not included under this plan.

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TN # 79-19

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Citation 3.1 Amount, Duration, and Scope of Services (continued)

42 CFR 431.53 (c) Assurance of Transportation

Provision is made for assuring necessary transportation of recipients to and from providers. Methods used to assure such transportation of recipients to and from providers. Methods used to assure such transportation are described in ATTACHMENT 3.1-D.

TN No. 92-1

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TN No. 76-21

HCFA ID: 7982E

Revision: HCFA-AT-80-38 (BPP)
May 22, 1980

State/Territory: Kentucky

Citation 3.1(d)
42 CFR 440.260
AT-78-90

Methods and Standards to Assure Quality of
Services

The standards established and the methods used
to assure high quality care are described in
ATTACHMENT 3.1-C.

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Supersedes
TN #: _____

Approval Date 1/27/77

Effective Date 11/23/76

Revision: HCFA-AT-80-38 (BPP)
May 22, 1980

State/Territory: Kentucky

Citation
42 CFR 441.20
AT-78-90

3.1(e)

Family Planning Services

The requirements of 42 CFR 441.20 are met regarding freedom from coercion or pressure of mind and conscience, and freedom of choice of method to be used for family planning.

TN # 76-21
Supersedes
TN # _____

Approval Date 1/27/77

Effective Date 11/23/76

Revision: HCFA-PM-87-5
April 1987

(BERC)

OMB NO.: 0938-0193

State/Territory: Kentucky

Citation
42 CFR 441.30
AT-78-90

3.1 (f) (1)

Optometric Services

Optometric services (other than those provided under §§435.531 and 436.531) are not now but were previously provided under the plan. Services of the type an optometrist is legally authorized to perform are specifically included in the term "physicians' services" under this plan and are reimbursed whether furnished by a physician or an optometrist.

☐

Yes.

☐

No. The conditions described in the first sentence apply but the term "physicians' services" does not specifically include services of the type an optometrist is legally authorized to perform.

☒

Not applicable. The conditions in the first sentence do not apply.

1903(i) (1)
of the Act,
P.L. 99-272
(Section 9507)

(2)

Organ Transplant Procedures

Organ transplant procedures are provided.

No.

☒

Yes. Similarly situated individuals are treated alike and any restriction on the facilities that may, or practitioners who may, provide those procedures is consistent with the accessibility of high quality care to individuals eligible for the procedures under this plan. Standards for the coverage of organ transplant procedures are described at ATTACHMENT 3.1-E.

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Supersedes
TN No. 76-21

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Revision: HCFA-PM-87-4
MARCH 1987

(BERC)

OMB NO.: 0938-0193

State/Territory: Kentucky

Citation
42 CFR 431.110(b)
AT-78-90

3.1 (g)

Participation by Indian Health Service Facilities

Indian Health Service facilities are accepted as providers, in accordance with 42 CFR 431.110(b), on the same basis as other qualified providers.

1902(e)(9) of
the Act,
P.L. 99-509
(Section 9408)

(h)

Respiratory Care Services for Ventilator-Dependent Individuals

Respiratory care services, as defined in section 1902(e) (9) (C) of the Act, are provided under the plan to individuals who - -

- (1) Are medically dependent on a ventilator for life support at least six hours per day;
- (2) Have been so dependent as inpatients during a single stay or a continuous stay in one or more hospitals, SNFs or ICFs for the lesser of - -
 - ☐ 30 consecutive days;
 - ☐ ___ days (the maximum number of inpatient days allowed under the State plan);
- (3) Except for home respiratory care, would require respiratory care on an inpatient basis in a hospital, SNF, or ICF for which Medicaid payments would be made;
- (4) Have adequate social support services to be cared for at home; and
- (5) Wish to be cared for at home.

☐ Yes. The requirements of section 1902 (e) (9) of the Act are met.

☒ Not applicable. These services are not included in the plan.

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TN No. 78-4

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